

10000470.032102

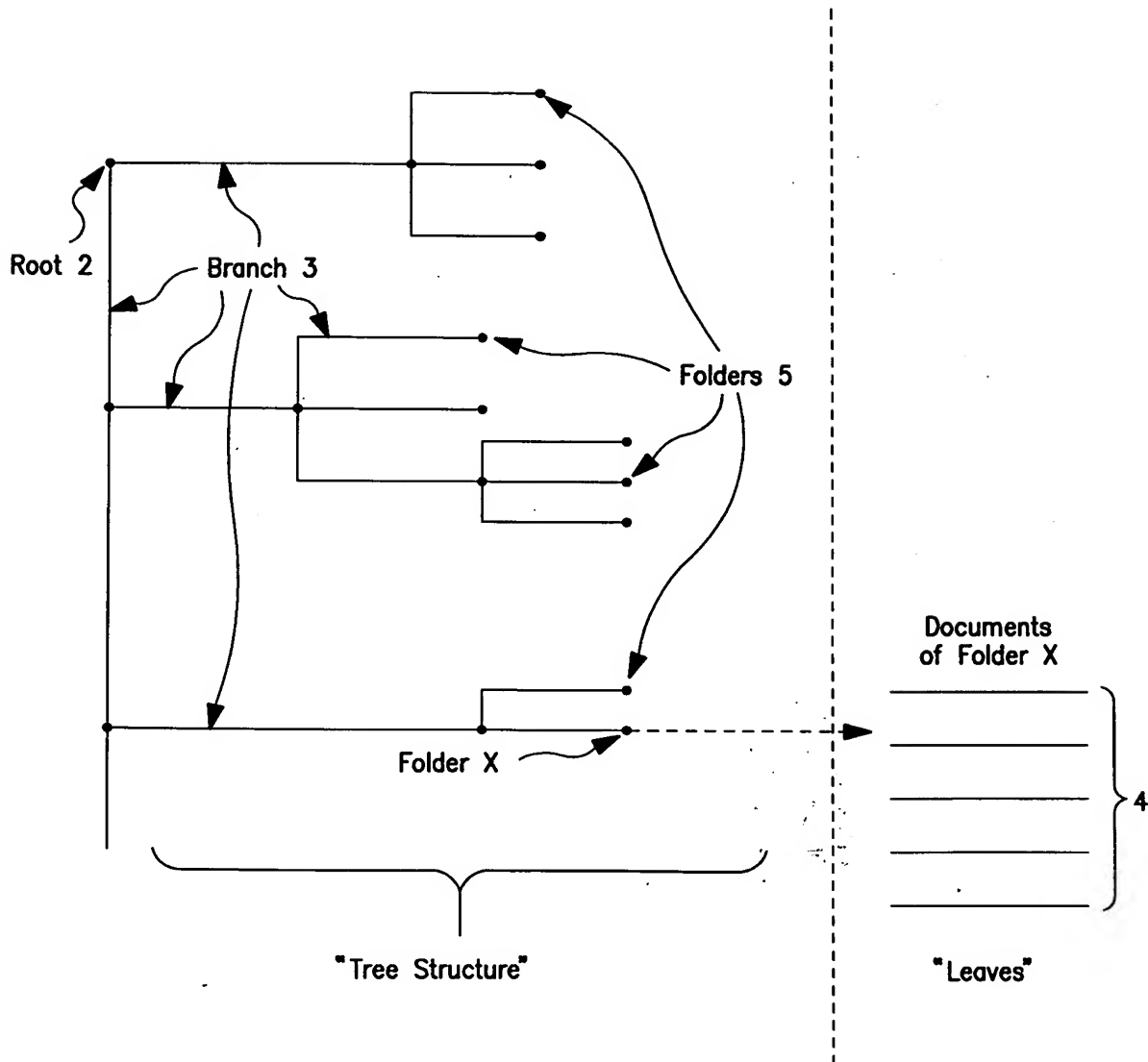


FIG. 1

Attributes

	Buy/Sell	Stock	Client	Industry	Market	Account
Trading Orders	B	AA	X	Health	NYSE	1.00
	B	BB	X	Drug	NYSE	2.00
	B	CC	Y	Defense	NASDAQ	3.00
	S	AA	Y	Health	NYSE	4.00
	S	BB	Z	Drug	NYSE	5.00
	S	CC	Z	Defense	NASDAQ	6.00

FIG. 2A

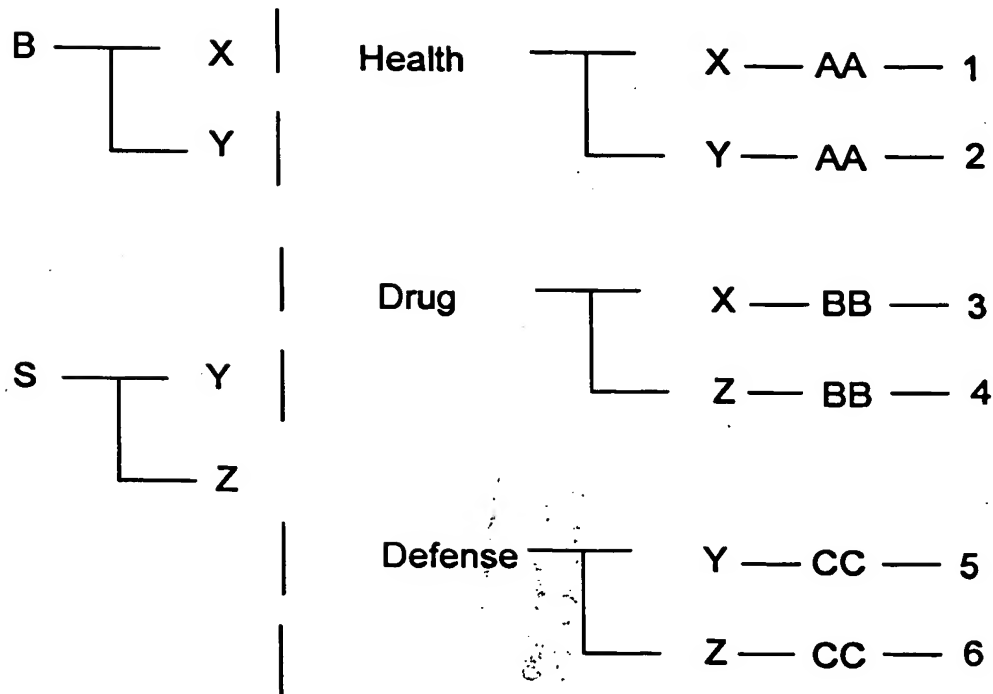


FIG. 2B

FIG. 2C

10008470-032103

10008470.032102

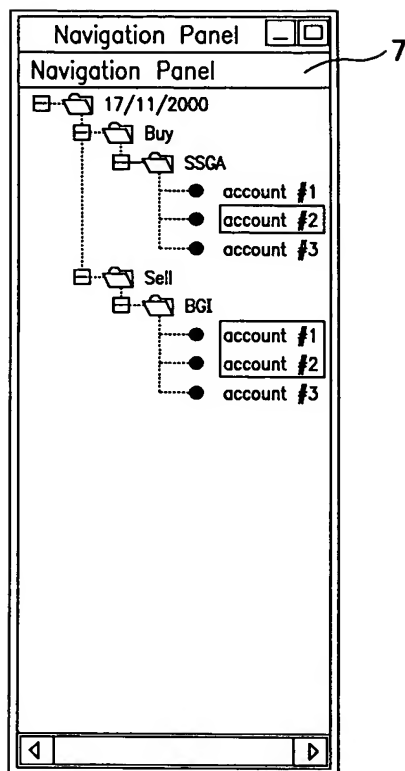


FIG. 3A

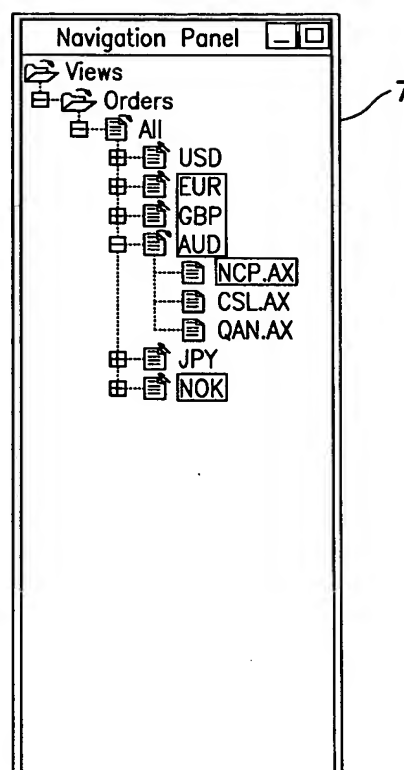


FIG. 3C

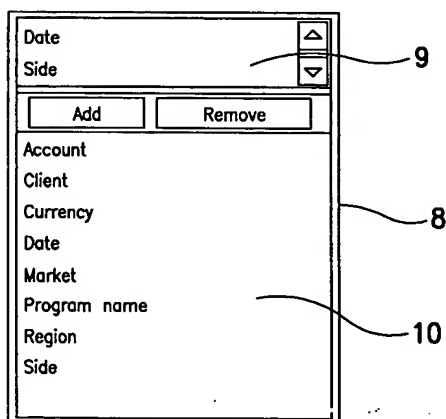


FIG. 3B

207250 07480007

Select Columns

Selected		Available
Side	Up	Cost
CCY		Opport
RicCode	Down	Pct_Cmpl
Instinet		Pct_Vol
Description	<<	Pct_Sprd
Strike		Pct_Chng
Nominal	>>	Local_Value
Traded		
Price		

Column: Description
Format: General

OK
Cancel

FIG. 4

Filter Options

Nominal > 10,000

☐ Exclude Matching Items
☐ Case sensitive

OK
Cancel

FIG. 5

Sorting Order

Sort: Side Z A
CCY A Z
RicCode Z A
(none) A Z
(none) A Z

OK
Cancel

FIG. 6

Newport Client--James Teather @ London Dev connected to Ion's Test Server																																																																																																																																											
File Edit Program Order Tools Window Help																																																																																																																																											
<div style="float:left; width:15%;">Navigation</div> <div style="float:right; width:15%;">Inbox</div> <div style="clear:both;"></div>																																																																																																																																											
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<ul style="list-style-type: none"> <input type="checkbox"/> All <input checked="" type="checkbox"/> Aerospace & Military Techno <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Appliances & Households <input type="checkbox"/> BUY <input type="checkbox"/> Automobiles <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Banking <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Beverages & Tobacco <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Broadcasting & Publishing <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Building Materials & Compo <input type="checkbox"/> BUY <input type="checkbox"/> Business & Public Services <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Chemicals <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Construction & Housing <input type="checkbox"/> BUY <input type="checkbox"/> SELL <input type="checkbox"/> Data Processing & Reprodu <input type="checkbox"/> BUY <input type="checkbox"/> SELL 																																																																																																																																											
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1006948206136	London Dev	Buy	2,700	FFFL		0.000	13:24:22 DAY																																																																																																																																				
1006948206108		Buy	400	CC...		0.000	13:21:34 DAY																																																																																																																																				
1006954675173		Buy	32,690	HSB...		0.000	16:56:01 DAY																																																																																																																																				
1006954675173		Buy	32,690	HSB...		0.000	16:56:01 DAY																																																																																																																																				
1006954675183		Buy	62,400	DBK...		0.000	16:56:01 DAY																																																																																																																																				
<p>Order 1006954673956 cancelled</p> <p>EUR</p>																																																																																																																																											

O Order 1006954673956 cancelled

207220"0490007

Newport Client--James Teather @ London Dev connected to Jan's Test Server

File Edit Program Order Tools Window Help

Navigation Portfolio, Sector & Currency

g99g test xx (none) Euro Transition PF Banking NOK SEK Multi-Industry Electrical & Electronics EUR SEK Forest Products & Paper Energy Sources Transportation-Shipping Telecommunications Insurance Machinery & Engineering Building Materials & Com Health & Personal Care Merchandising Business & Public Service Construction & Housing Metals-Steel Beverages & Tobacco Invalid Instrument xxxx SDG gf g99g test Euro Transition PF

Inbox

Order ID Order Qty Time Raised Alert Time Order Group Instr Type Currency Industry Sector Alert Source

INLET 0

Accept Reject

3/3

Cancel Lock Unlock Custom...

Orders

C...	Order ID	Side	Order Qty	INLET	1st RIC	Limit Price	Ask	Bid	Value	Residual	Imp
	1006954675866	✓ Buy	6,445	NOKA.I	NOK1V.HE	0.000	25.680	25.600	165,507.600	6,445.000	
	1006954675896	✓ Buy	700	LMEB.I	ERICb.ST	0.000	58.000	57.500	40,600.000	700.000	
	1006954675931	✓ Sell	3,900	LMEB.I	ERICb.ST	0.000	58.000	57.500	224,250.000	3,900.000	
			11,045			0.000			430,357.600	11,045.000	

Outbox

Order ID	Parent Orders	Time Raised	Side	Order...	INLET	Held	Limit Price	Strike Price	Benchmark
✓ 100695467...	1006954675896	18:22:48	0	LMEB.I	✓	0.000	0.000	0.000	0.000D
✓ 100695467...	1006954675931	18:22:48	200	LMEB.I	✓	0.000	0.000	0.000	0.000D
			200			0.000	0.000	0.000	0.000

Create slice completed

EUR

FIG. 7B

10008470.032102

Navigation Setup

Existing Create

Navigation Name: Portfolio, Currency and Side

Group-Name		Group-Client
Instrument-Curren	^ v	Order-Group ID
Order-Side	To Top	Order-Expiry Ty
	To Btm	Order-Group ID
	>>	Instrument-Type
	>>	Instrument-Inde
		Instrument-Sect
		Instrument-Sect
		Instrument-Sect

☒ Detailed trace

Create Invalid Instrument Finder Create Close

47

48

44

45

46

FIG. 7C

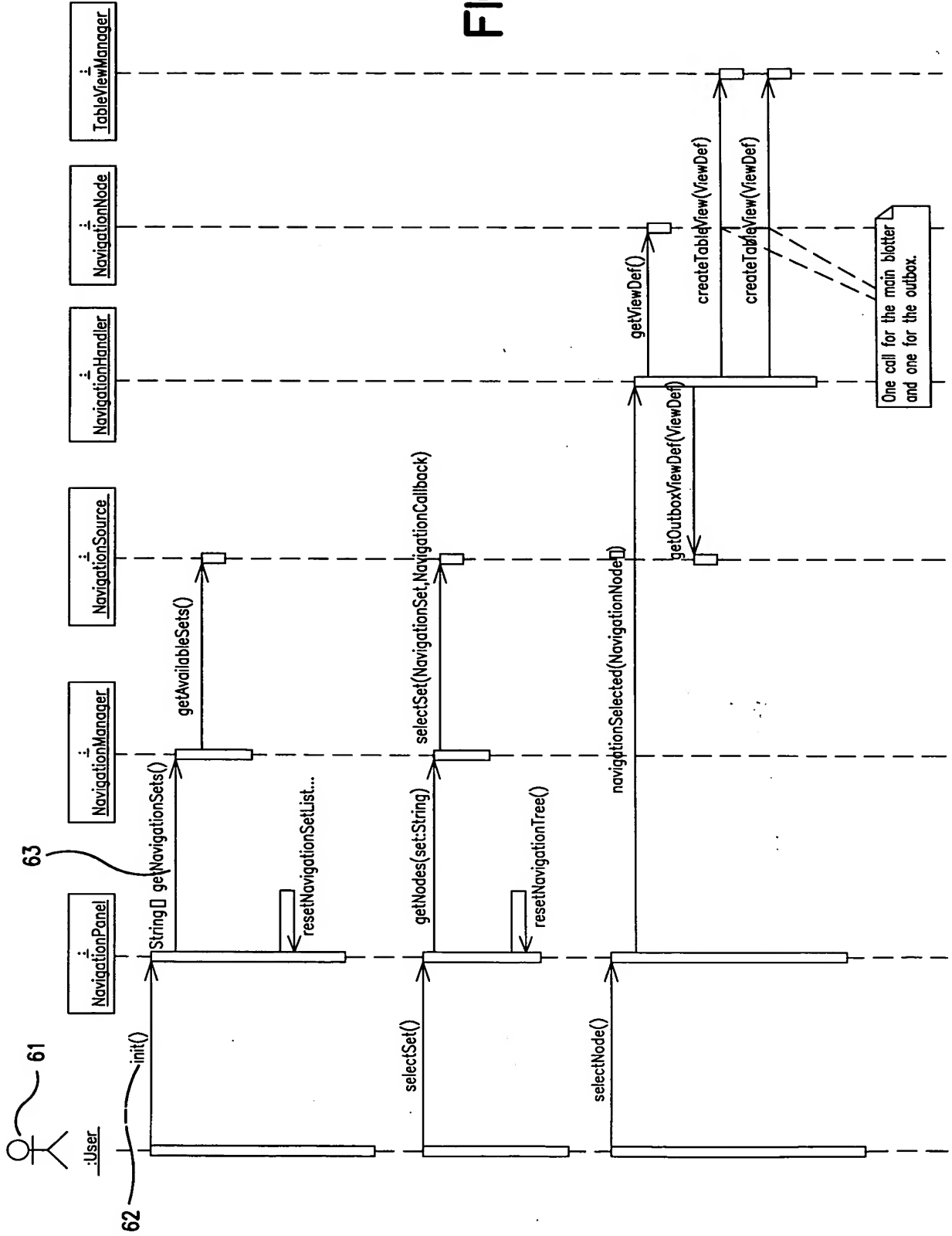
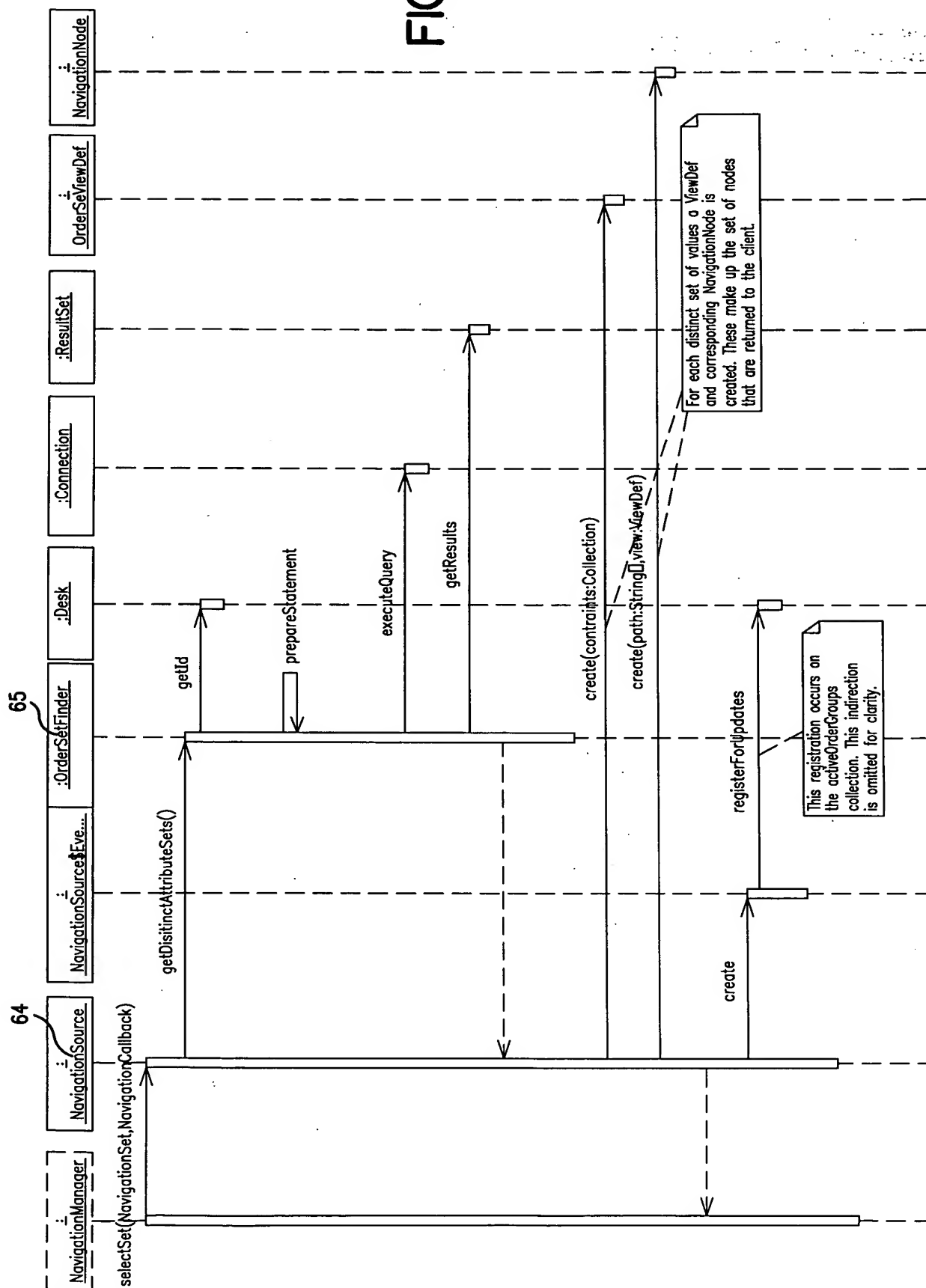


FIG. 8



64

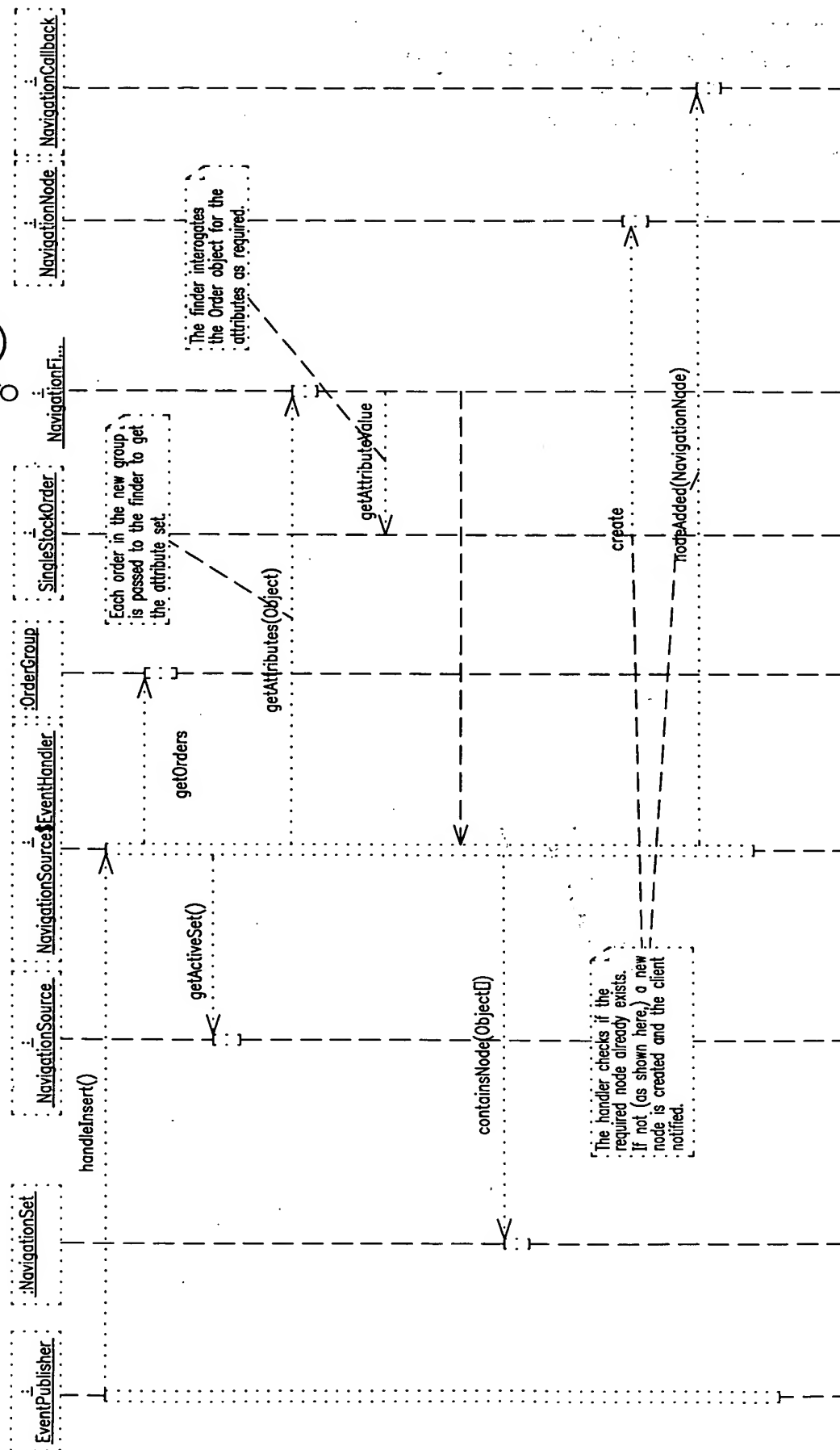


FIG. 10

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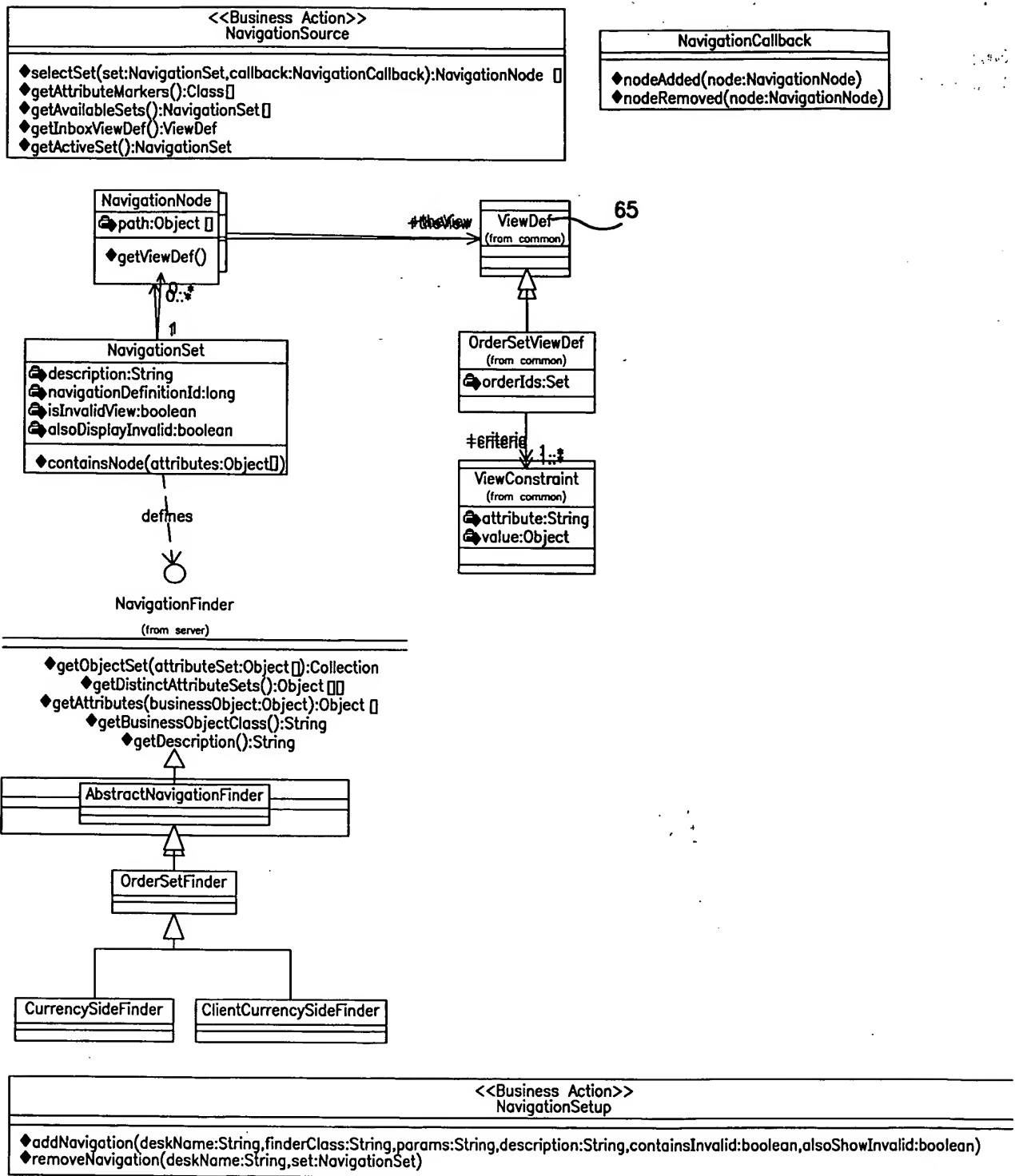


FIG. 11